

# Annual Financial Disclosure Form – 2006

Please answer the following questions. Terms in bold type are defined in the Tulane Conflict of Interest Policy, a copy of which is available on the Research Administration home page on the Web (<http://www.som.tulane.edu/researchadmin/forms/coiPolicy.html>) or by calling Research Administration at 865-5272.

1. Do you or any member of your **immediate family** (spouse and dependent children, including step children) have a **consulting** relationship or a **significant financial interest** (a financial interest which exceeds \$10,000 or 5% ownership when aggregated for your immediate family) in:

YES NO

- a. \_\_\_ \_\_\_ a business which tests, markets or produces a product which would be evaluated or further developed through your research activities?
- b. \_\_\_ \_\_\_ a business that does business with Tulane, which business you are in a position to influence?
- c. \_\_\_ \_\_\_ a sponsor of your research?
- d. \_\_\_ \_\_\_ any other business in which there could be an appearance of a conflict of interest or which could reasonably appear to be affected by your research interests or educational activities?

2. Have you performed consulting or engaged in outside employment during the past academic year (July 1 - June 30)? If yes, list the name(s) of the organization(s), the duties performed, and the time devoted to the activity on an attached sheet.
- YES NO  
\_\_\_ \_\_\_

3. Do you hold any position or appointment as an officer, director, or provide service in a management capacity in an commercial, industrial, business or financial organization which does business with or has a relationship with the University?
- YES NO  
\_\_\_ \_\_\_

4. Do you have outside professional or income producing activities involving University students?
- YES NO  
\_\_\_ \_\_\_

**IF YOU ANSWERED YES TO ANY OF THE ABOVE QUESTIONS**, please provide details on an separate sheet of paper, attach to this form, and return to the appropriate designated official as stated below.

I hereby acknowledge that I have read and understood the Conflict of Interest Policy and have answered fully and to the best of my ability. I will update this disclosure if my circumstances change.

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 2004.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed name

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Department/Unit

In the case of faculty and staff, return to the Dean of your school or college. For individuals who report directly to the President, return to the President. In the case of individuals appointed to Admissions, Registrar's Office, Graduate School, Student Affairs, Howard Tilton Library, or Center for Latin American Studies, return to the Provost. For all other staff appointed to non-academic departments, return to the Sr. Vice President for Operations and Chief Financial Officer. For questions or additional information, please call your Dean or Research Administration, 865-5272.